

03/25/99
16586 U.S. PTO

PATENT
674508-2001

FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK, NEW YORK 10151
TEL. (212) 588-0800

Date: March 25, 1999
Re: 674508-2001

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application (35 U.S.C. 111)
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of a Continuation application of a PCT application, pursuant to 35 U.S.C. 111(a) in the name of:

FERSHT, Alan Roy; ZAHN, Ralph; and ALTAMIRANO, Myriam Marlenne

entitled: **CHAPERONE FRAGMENTS**

X This is an application of a small entity under 37 CFR 1.9(f) and as only an unsigned Small Entity Verified Statement is enclosed, large entity fees have been paid, and a refund is respectfully requested upon the filing of the signed version of the Small Entity Verified Statement.

X Small Entity Verified Statement is enclosed.

The following are enclosed:

- X Specification (60 pages) and One Page of Abstract
- 15 Sheet(s) of Drawings (Figs. 1 to 9e)
- X 50 Claim(s) (including 8 independent claim(s))
- This application contains a multiple dependent claim
- X Oath or Declaration and Power of Attorney - signed X unsigned
- X Preliminary Amendment
- X Our check for \$845.00, calculated as follows:
 - Basic Fee, \$760.00 (\$380.00)..... \$ 380.00
 - Number of Claims in excess of 20 at \$18.00 (\$9.00) each: 270.00
 - Number of Independent Claims in excess of 3 at \$78.00 (\$39.00) each: 195.00
 - Multiple Dependent Claim Fee at \$260.00 (\$130.00)..... -0-
 - Total Filing Fee \$845.00
 - Assignment Recording Fee \$40.00 -0-
- X Pursuant to MPEP § 1895, enclosed is a copy of the PCT Request which is submitted as evidence that the instant continuation is copending with the PCT application and has at least one inventor in common therewith.
- Certified copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
GB97/02652	26/6/97	PCT
GB96/02980	3/12/96	PCT
9620243.7	26/9/96	U.K.

Applicant or Patentee: FERSHT ET AL.
Serial or Patent No.:
Filed or Issued: March 25, 1999
For: CHAPERONE FRAGMENTS

Frommer Lawrence & Haug LLP
File No.: 674508-2001
Page 1 of 2

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name Of Organization: MEDICAL RESEARCH COUNSEL

Address Of Organization: 20 PARK CRESCENT
LONDON W1N 4AL, GREAT BRITAIN

Type Of Organization:

- ☐ university or other institution of higher education
- ☐ tax exempt under internal revenue service code (26 USC 501(a) and 502(c)(3))
- ☐ nonprofit scientific or educational under statute of state of the United States of America
- ☐ would qualify as tax exempt under internal revenue service code (26 USC 501(a) and 502(c)(3)) if located in the United States of America
- ☒ would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled **CHAPERONE FRAGMENTS** inventor(s): **Alan Roy FERSHT, Ralph ZAHN and Myriam Marlenne ALTAMIRANO** described in

- ☐ the specification filed herewith.
- ☒ application serial no. , filed March 25, 1999.
- ☐ patent no. , issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

Applicant or Patentee: FERSHT ET AL.
Serial or Patent No.:
Filed or Issued: March 25, 1999
For: CHAPERONE FRAGMENTS

Frommer Lawrence & Haug LLP
File No.: 674508-2001
Page 2 of 2

than the inventor who could not qualify as a small business concern under 35 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Full Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name

Address

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:

Title in Organization:

Address of Person Signing:

Signature: _____ Date: _____